How Tumor Profiling Works



Tumor Sample Sent to Caris Life Sciences®





Biomarker Testing





Perform Bioinformatics





Caris Molecular Intelligence[®] Report Generated





Physician Reviews Report Caris Molecular Intelligence® may only be ordered by physicians. If you would like to investigate the possibility of ordering tumor profiling from Caris Life Sciences®, please consult with your oncologist.

The information contained in this brochure is not intended as medical advice, and should not be relied upon as a substitute for consultations with qualified health professionals who are familiar with your individual medical needs.

This brochure is provided by Caris Life Sciences as an educational resource for physicians and their patients.



www.CarisLifeSciences.com

The Molecular Science Company

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You are unique and so is your cancer.





Pioneering a New Era in Personalized Cancer Treatment

No two tumors are alike. Therefore, it is imperative to have the most advanced, personalized treatment assessment available when making decisions. Caris Molecular Intelligence® can identify biomarkers in your tumor that provide invaluable information on whether your tumor may or may not respond to specific drugs or clinical trials.

The word "biomarker" can refer to many different compounds in the body that indicate something about your health. When people refer to cancer biomarkers, they are usually referring to proteins, genes, and other molecules at the genetic and molecular level that affect how cancer cells grow, multiply, die and respond to other compounds in the body.

Caris Molecular Intelligence combines state-of-theart laboratory testing technologies with a thorough analysis of the most up-to-date clinical cancer literature. This personalized tumor profile provides your physician with information to aid in tailoring your treatment plan.

Please talk with your physician to find out if tumor profiling may be right for you.



What is Caris Molecular Intelligence®?

Caris Molecular Intelligence® is the first and most experienced comprehensive tumor profiling service designed to enable the delivery of precision medicine. Our comprehensive genomic profiling approach to tumor profiling assesses DNA, RNA and proteins to reveal a molecular blueprint to guide more precise and individualized treatment decisions. A Caris Molecular Intelligence Report is generated for each patient and may help your doctor personalize cancer therapies specific to your cancer/tumor.

How does Caris Molecular Intelligence profiling work?

Caris Molecular Intelligence uses multiple tumor profiling technologies to decode cancer and better understand the biology driving tumor growth. The biomarker results, or molecular blueprint, from a tissue sample are then matched to cancer drugs associated with benefit or lack of benefit, as well as clinical trials, for your unique cancer.

What does the Report reveal?

The results of these tests reveal your tumor's specific cancer biomarkers, or targets, which provide molecular insights to help doctors personalize treatment to your unique cancer. Caris Molecular Intelligence helps doctors:

- navigate among therapies with potential benefit;
- determine drugs with potential lack of benefit (avoiding unnecessary toxicities and costs); and
- · match patients to clinical trials.

Does the Report tell my physician what kind of cancer I have?

No, a 'diagnostic' test determines whether someone may have a particular disease or medical condition. The Report is designed to help your physician identify which of the available therapies may have the best chance to work against your cancer and which to potentially avoid.

Does the Report tell my physician how to treat my cancer?

The Report is a tool that provides a molecular blueprint to help your physician evaluate and guide more precise and individualized treatment decisions. You and your physician will determine your treatment plan which will be based on many factors that may include the Report, availability of cancer therapeutics, medical history and other clinical factors.

Does the Report provide information on clinical trials?

Yes, through the Clinical Trials Connector™, the Report identifies clinical trial opportunities that are currently enrolling patients based on the unique biomarkers in your tumor. Your physician will determine if any of these trials may be right for you.

What kind of tissue sample is needed for Caris Life Sciences to profile my tumor?

Caris Molecular Intelligence is performed on tissue that is routinely obtained during the surgical removal of your tumor, or from a sample collected during a biopsy. As your tumor's biomarkers may change over time and with treatment, your physician may wish to obtain a more recent sample of your tumor.

Where will the service be performed?

Caris Molecular Intelligence tumor profiling is performed at our 66,000 square foot laboratory in Phoenix, Arizona. This state-of-the-art lab meets and exceeds industry standards (CLIA, CAP, ISO 15189 and NY State approved/certified) and is staffed by a team of MDs, PhDs and licensed technologists.

When will my results be ready?

Once our laboratory receives your specimen and required documentation, the process typically takes less than two weeks. The Report will be delivered directly to your physician for review.

Where can I learn more about tumor profiling and biomarkers?

MyCancer.com is an educational resource, sponsored by Caris, that is designed to provide information about molecular profiling, cancer biomarkers and the transformation of cancer treatment.

Will I receive a bill from Caris Life Sciences, and when can I expect it?

Caris will bill your insurance company directly as an independent service provider and work directly with them to process claims. Insurance companies usually take 60-90 days to respond to claims from Caris. You may receive an Explanation of Benefits (EOB) during this time, but this is NOT a bill. According to the terms of your healthcare plan, a bill for the deductible, coinsurance, or co-payment may be sent once the insurance company has processed the claim.

What is an Explanation of Benefits (EOB) and does it require further action on my part?

Each time Caris submits a claim or an appeal to your insurance provider, the insurer will send an EOB that details the portion of the claim the insurer intends to pay. Some insurance providers will split one claim into multiple sections, which may cause you to receive more than one EOB. Even though an EOB may state an "Amount Due," the actual invoice from Caris may be reduced, and in some cases, a payment may <u>not</u> be due. **If you have any questions about an EOB or an invoice from Caris, please contact your Patient Navigator at (888) 979-8669.**

What happens if my insurance pays me directly for the testing?

If you receive a reimbursement check directly from your insurance provider, please forward those funds directly to Caris for the tumor profiling services we performed. Caris will contact and invoice patients who receive direct reimbursement payments from their provider.

What am I responsible for paying?

A patient's financial responsibility differs depending on insurance coverage type and state law. Caris will work within these parameters to limit patient charges, and Caris offers multiple payment plans and financial assistance programs for qualified patients. **Contact your Patient Navigator at (888)979-8669 to discuss your options.**

The information provided here is general in nature and not patient specific.

Due to variations in insurance coverage, your financial responsibility may vary from the above information.

Is Caris Life Sciences "in-network" with my insurance provider?

Depending on your insurance provider, Caris may or may not be considered in-network with your provider. However, we will perform the services your physician ordered and deemed medically necessary regardless of network provider status.

Is prior authorization required and what happens if coverage is denied?

Caris billing specialists will conduct all prior authorizations as required by the patient's insurance and will appeal all prior authorizations or claims that are denied. Given that financial assistance is available to all patients, a prior authorization denial does not necessarily mean that your patient responsibility will increase. For questions, please contact our **Patient Navigator team at (888) 979-8669.**

How do I file a secondary, or supplemental, insurance claim?

Caris will file all secondary, or supplemental, insurance claims on your behalf. If you receive a bill and believe that a secondary claim has not been filed, contact a **Patient**Navigator at (888) 979-8669 to verify the claim submission and ensure the proper paperwork has been submitted.

What happens if I cannot afford to pay for tumor profiling?

We understand the financial burden of cancer care can be overwhelming at times. We have created financial assistance programs that provide added flexibility for patients. Additionally, Caris has established a Compassionate Care program to assist uninsured patients or those who may not be able afford any associated out-of-pocket costs (limits and conditions apply). Contact your **Patient Navigator at (888) 979-8669** to get more information on these programs.

Who can I contact if I have additional questions about Caris Molecular Intelligence testing?

A Patient Navigator can provide more detailed information about Caris Molecular Intelligence. If you have additional questions that this pamphlet does not answer regarding how the testing works, your Explanation of Benefits (EOB) statement or financial options, please email **PatientNavigator@carisls.com or call (888) 979-8669.**